



PTO/SB97 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1996, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Attorney Docket 041-1930ARI**Certificate of Transmission under 37 CFR 1.8**

I hereby certify that this correspondence is being facsimile transmitted to the
United States Patent and Trademark Office

on March 2, 2004
Date



Signature

ISRAEL GOPSTEIN, Reg. No. 27,333

Typed or printed name of person of signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify
each submitted paper.

Included herein are the following papers for filing in Application Serial No. 10/635,929:

- 1) Response to Notice to File Missing Parts of Reissue Application (2 pages);
- 2) Copy of Notice to File Missing Parts (2 pages);
- 3) Reissue Application Declaration (PTO SB/51 and SB/02B - 3 pages);
- 4) Consent of Assignee (PTO SB/53 - 1 page);
- 5) Statement Under 37 CFR 3.73(b) (PTO SB/96 - 1 page);
- 6) Petition for Extension of Time (1 page);
- 7) Fee Transmittal (PTO SB/17 - 1 page);
- 8) PTO form 2038 in payment of \$2,022 (1 page); and
- 9) Preliminary Amendment (4 pages).

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Docket No.: 041-1930ARI

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Reissue Application of

Yasuhide HORIUCHI et al.

Serial No. 10/635,929

Filed: 08/07/2003

:
:
:
: Group Art Unit: **OIPE**
:
: Examiner:
:

For: TRANSMITTER-RECEIVER FOR ELECTRONIC MAIL THAT PROVIDES
CONVENIENCE TO A USER RECEIVING MAIL SERVICES FROM VARIOUS MAIL
SERVICE PROVIDERS AT DIFFERENT TERMINALS AND DIFFERENT PLACES

RESPONSE TO NOTICE TO FILE MISSING PARTS OF REISSUE APPLICATION

Mail Stop MISSING PARTS
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Notice dated October 2, 2003, copy enclosed, the time for response to which is extended for THREE months by an accompanying Petition and fee payment, applicant submits herewith the following items required in said Notice:


- The statutory basic filing fee of \$770;
- a properly signed oath or declaration;
- a late filing fee or oath or declaration surcharge of \$130;
- Consent of assignee;
- Assignee's statement under 37 CFR 3.73(b); and
- Additional claim fees of \$168 (due to an increase in USPTO fees, the correct amount is \$172).

PTO Form 2038 in the amount of \$2,022.00 is provided, to cover the sum of the following fees: a \$950.00 fee for a three month Extension of Time, the filing fee of \$770.00, the \$130.00 surcharge and \$172.00 in additional claim fees.

Serial No. 10/635,929

In view of the foregoing, it is courteously submitted that informalities in the application as filed have been eliminated, and that the application is in condition for examination. A prompt notification of the same is courteously solicited.

Respectfully Submitted,



Israel Gopstein
Registration No. 27,333

1750 K Street, N.W. Suite 600
Washington, D.C. 20006
(202) 835-1111
(202) 835-1755 (fax)
Date: March 2, 2004



03/02/2004 19:21 240-3710700
09'2003 11:55 2028351755

GOPSTEIN PATENT
CLARKSBRODY

PAGE 04/17

#5734 P.005

Page 1 of 2



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/635,929	08/07/2003	Yasuhide Horiuchi	041-1930ARJ

Israel Gopstein, Esq.
Clark & Brody
1750 K Street, N.W.
Washington, DC 20006

CONFIRMATION NO. 1062

FORMALITIES LETTER

FORMALITIES LETTER
"OC000000010972641"

Date Mailed: 10/02/2003

NOTICE TO FILE MISSING PARTS OF REISSUE APPLICATION

Filing Date Granted

An application number and filing date have been accorded to this reissue application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- Consent of assignee is missing. 37 CFR 1.172 requires that a reissue oath/declaration be accompanied by the written consent of all assignees.
- Assignee's statement under 37 CFR 3.73(b) establishing ownership of the patent is missing. 37 CFR 1.172 requires that all assignees consenting to the reissue application establish their ownership interest in the patent by filing in the reissue application a statement in accordance with 37 CFR 3.73(b).

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- Additional claim fees of \$168 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1068 for a Large Entity

03/03/2004 CCHAU1 00000120 10635929

02 FC:1001	770.00 OP
03 FC:1051	130.00 OP
04 FC:1201	172.00 OP

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$168
 - \$168 for 2 independent claims over the original patent .

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

~~Patent P. 11/11/03~~
Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 1 - ATTORNEY/APPLICANT COPY



03/02/2004 19:21

240-3710700

GOPSTEIN PATENT

PAGE 12/17

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 2,022.00)

Complete if Known

Application Number	10/635,929
Filing Date	08/07/2003
First Named Inventor	Yasuhide HORIUCHI
Examiner Name	
Art Unit	OIPE
Attorney Docket No.	041-1930ARI

METHOD OF PAYMENT (check all that apply)☐ Check ☒ Credit card ☐ Money Order ☐ Other ☐ None☐ Deposit Account:

Deposit Account Number	
Deposit Account Name	

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments
☐ Charge any additional fee(s) or any underpayment of fee(s)
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	770.00
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)			(\$ 770.00)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
15	-20** = 0	0	0
Independent Claims	3	-3** = 2	86
Multiple Dependent			0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 172.00)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	130
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 850	2253 475	Extension for reply within third month	950
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 685	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 1,080.00)**SUBMITTED BY**

Name (Print/Type)	Israel Gopstein	Registration No. (Attorney/Agent)	27,333	Telephone	202 835-1111
Signature		Date	03/02/2004		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.